

Long Man Parish Council Risk Assessment

Risk assessment is a systematic general examination of working conditions, workplace activities and environmental factors that will enable the Parish Council to identify any and all potential inherent risks. The Parish Council, based on a recorded assessment, will take all practical and necessary steps to reduce or eliminate the risks, insofar as is practically possible. This document has been produced to enable Long Man Parish Council to assess the risks that it faces and satisfy itself that it has taken adequate steps to minimise them.

Adopted at the Council Meeting Held on

Signed by:

Chair.....

Clerk/RFO.....

FINANCIAL AND MANAGEMENT & PHYSICAL EQUIPMENT AND AREAS

Subject	Risk(s) indentified	H/M/L	Management/control of Risk	Review/Assess/Revise
Precept	Adequacy of precept in order for the Council to carry out its Statutory duties	L	To determine the precept amount required, the Council regularly receives budget update information. At the precept meeting Council receives a budget report, including actual position and projected position to the end of year and indicative figures or costings obtained by the Clerk. With this information the Council maps out the required monies for standing costs and projects for the following year and applies specific figures to budget headings, the total of which is resolved to be the precept amount to be requested from Wealden District Council. The figure is submitted by the Clerk in writing. The Clerk informs the Council when the monies are received.	Existing procedure adequate.
Financial Records	Inadequate records financial irregularities	L	The Council has Financial Regulations which sets out the requirements.	Existing procedure adequate Review the Financial Regulations when necessary

Bank and banking	Inadequate checks Bank mistakes	L L	The Council has Financial Regulations which set out banking requirements, also an Online Banking Policy to cover the procedure and processes to carry out online banking payments. Monthly bank reconciliations take place and reported to the council and minuted. Bill payment lists along with supporting invoices are approved by the council in line with Financial Regulations and the Online Banking Policy – these are published with meeting papers and are approved by the council.	Existing procedure adequate Existing procedure adequate
Reporting and auditing	Information communication	L	Financial information is a regular agenda item (Finance Reports) and discussed/reviewed and approved at Council meetings.	Existing procedures adequate.
Grants	Receipt of grant	L	The Parish Council does not presently receive any regular grants.	Procedure would be formed, if required
Charges-rents receivable	Payment of rents	L	The Parish Council collects no rents or charges.	Procedure would be formed, if required
Grants and support payable	Power to pay Authorisation of Council to pay	L	All such expenditure goes through the required Council process of approval and is minuted. There is a Grant Giving Policy to enable the Council to assess any funding applications. Any other funds donated are recorded if a payment is made using S137 powers of expenditure.	Existing procedure adequate.
Best value accountability	Work awarded incorrectly. Overspend on services.	L M	Parish Council practice is to follow Financial Regulation guidance on seeking quotations for any works needed. For major work, competitive tenders would be sought. If problems encountered with a contract the Clerk would investigate the situation and report to the Council.	Existing procedure adequate.
Salaries and assoc. costs	Salary calculation	L	The Clerk's salary is calculated according to agreed NALC pay scale and payroll management is outsourced.	Existing procedure adequate.

			PAYE is paid quarterly according to HMRC requirement, and Clerk's salary monthly,	
Employees	Fraud by staff Health and safety	L L	Requirements of Fidelity Guarantee insurance adhered to with regards to fraud. All employees to be provided adequate direction and safety equipment needed to undertake their roles	Existing procedures adequate. Monitor health and safety requirements and insurance annually.
VAT	Reclaiming/charging	L	The Council has Financial Regulations which set out the requirements. In practice the Clerk makes an annual claim.	Existing procedures adequate
Annual Return – External Auditor	Submit within time limits	L	The relevant Annual Governance and Accountability Return (AGAR) is completed, signed off by internal auditor and the Council, before being submitted in accordance with the External Audit requirements.	Existing procedures adequate.
Legal Powers	Illegal activity or payments	L	All activity and payments within the powers of the Parish Council are resolved at Full Council Meetings.	Existing procedures adequate
Minutes/agendas/ Notices Statutory Documents`	Accuracy and legality Business conduct	L L	Minutes and agenda are produced by the Clerk and adhere to the legal requirements. Minutes are approved and signed at the next Council meeting. Agenda displayed according to legal requirements. Business conducted at Council meetings should be managed by the Chair	Existing procedures adequate. Members adhere to Code of Conduct
Members interests	Conflict of interests Register of members interests	L M	Declarations of interest by members at Council meetings. Register of members' interest's forms reviewed regularly.	Existing procedures adequate. Members take responsibility to update register.
Insurance	Adequacy Cost Compliance	L L L	An annual review is undertaken of all insurance arrangements. Employers and Employee liabilities a necessity and within policies. Ensure compliance	Existing procedure adequate. Insurance reviewed annually.

	Fidelity Guarantee	M	measures are in place. Fidelity checks in place.	
Data protection	Policy provision	L	The Parish Council is registered with the Information Commissioner's Office	Ensure annual renewal of registration
Freedom of Information	Policy Provision	L M	The Council has a Model Publication scheme in place. The Parish Council is aware that if a substantial request came in it could create a number of additional hours work. The Parish Council can request a fee to supplement the extra hours	Monitor any requests made under FOI
Assets	Loss or damage Risk/damage to third party (ie property)	L	An annual review of assets is undertaken for insurance provision	Existing procedures adequate
Maintenance	Poor performance of assets or amenities	L	All assets owned by the Parish Council are regularly reviewed and maintained. All repairs and relevant expenditure for any repair is actioned/authorised in accordance with the correct procedures of the Parish Council. Assets are insured.	Existing procedures adequate
Notice Boards	Risk of damage	L	The Parish Council currently has three notice boards. The state of repair is regularly checked and any damage or faults are reported to the Parish Council and dealt with in accordance of the correct procedures of the Council.	Existing procedures adequate
Meeting locations	Adequacy Health & Safety	L L	The Parish Council meeting is held in a venue considered to have appropriate facilities for the Clerk, members and the general public.	Existing procedures adequate
Council records – paper	Loss through: Theft Fire Damage	L M L	The Parish Council records are stored at the Clerk's home. Records include historical correspondences, minutes, insurance, bank records. The documents are stored in a lockable office.	Damage (apart from fire) and theft is unlikely and so provision is adequate.
Council records – electronic	Loss through: Theft, fire damage or corruption of computer	L M	The Parish Council electronic records are stored on a laptop held with the Clerk at her home. Back up of electronic data is made at regular intervals.	Existing procedures considered adequate